

Flux breaking and natural Standard Model structure in F-theory

Shing Yan Li, MIT

Based on 2112.03947 and 2207.xxxxx with Washington Taylor

Goal: SM structure¹ from rigid GUT

- Seemingly the most **natural** way to realize SM structure in 4D F-theory models [Taylor, Wang]
- Moduli independent, relying on local geometry
- E_7 GUT but with chiral matter
- No chiral exotics with intermediate $SU(5)$
- Linear Diophantine chiral indices, naturally small, e.g.

$$\chi = 5n_1 - 7n_2 + 3n_3 - 10n_4 + \cdots, n_i \in \mathbb{Z}$$

- ¹The gauge group and chiral matter spectrum

Vertical and remainder flux breaking

Vertical flux	Remainder flux
$G_4^{vert} \in \text{span}([D_i] \wedge [D_\alpha])$	$G_4^{rem} \in \text{span}([D_i _{C_{rem}}])$
Non-abelian part: breaks to commutant of flux direction	
Breaks some U(1)'s by Stückelberg mechanism	Preserves all U(1)'s
Induces chiral matter	Does not induce chiral matter
Nontrivial primitivity ($J \wedge G_4 = 0$) constraints	Primitivity satisfied

[Braun, Watari]

Notations:

D_i - exceptional Cartan divisors

D_α - (pullback of) base divisors

C_{rem} - curve on gauge divisor Σ , homologically trivial on base B

Flux constraints

- Flux quantization: $G_4 + \frac{1}{2}c_2(\hat{Y}) \in H^4(\hat{Y}, \mathbb{Z})$ [Witten] \rightarrow assume integer ϕ
- Tadpole: $N_{D3} = \frac{\chi(\hat{Y})}{24} - \frac{1}{2} \int_{\hat{Y}} G_4 \wedge G_4$ [SVW, DM, DRS] \rightarrow natural to have small ϕ
- **Primitivity:** $J \wedge G_4 = 0$ [Becker, Becker; GVW] \rightarrow subtle!
- Poincaré invariance: $\Theta_{0\alpha} = \Theta_{\alpha\beta} = 0$

$$G_4^{vert} = \phi_{IJ} [D_I] \wedge [D_J], \Theta_{IJ} = \int_{\hat{Y}} G_4 \wedge [D_I] \wedge [D_J]$$

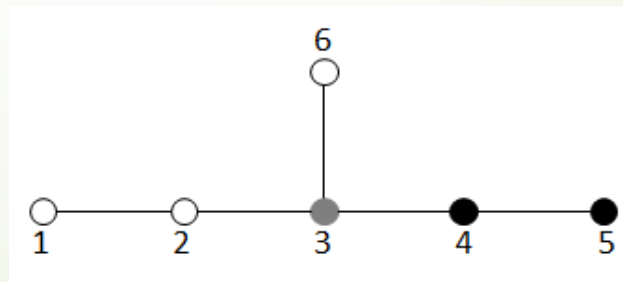
Our recipe

$$E_7 \text{ or } E_6 \xrightarrow{\text{vertical}} \text{SU}(5) \xrightarrow{\text{remainder}} G_{SM}$$

- Pure vertical breaking leads to exotic U(1), need “hypercharge flux”

[Beasley, Heckman, Vafa; Donagi, Wijnhol; Blumenhagen, Grimm, Jurke, Weigand; Marsano, Saulina, Schafer-Nameki; Grimm, Krause, Weigand]

- Challenge: rigid $E_7/E_6 + C_{rem} + \text{primitivity} + \chi = 3$
- Requires **non-toric** base



Explicit E_6 example

- Base as toric hypersurface [Braun, Collinucci, Valandro]
- **Step 1:** A threefold A with $h^{1,1} > 2$ ($\Delta(\text{rank})$)
- E.g. $A = \mathbb{P}^1 \times \mathbb{F}_1$
- On \mathbb{F}_1 , let s = section, f = fiber
- On A , let $\sigma = \mathbb{F}_1$ section, S, F = fibers along s, f
- **Step 2:** A fourfold X as \mathbb{P}^1 -bundle over A with normal bundle $N_A = -a\sigma$
- Let $\sigma_A = A$ section, F_σ, F_S, F_F = fibers along σ, S, F

Explicit E_6 example

- **Step 3:** Base B as ample divisor in X with class

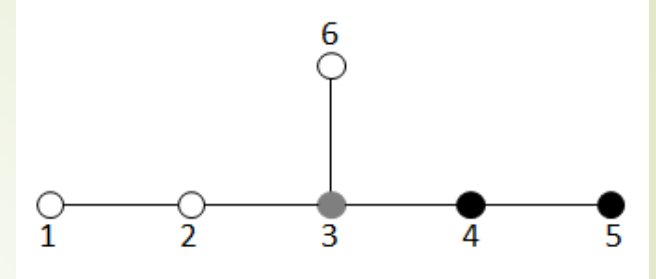
$$B = \sigma_A + (a + 1)F_\sigma + 2F_S + 3F_F$$

- $H^{1,1}(X, \mathbb{Z}) \cong H^{1,1}(B, \mathbb{Z})$ by Lefschetz's hyperplane theorem
- Effective $-K_B = B \cdot (\sigma_A + F_\sigma)$
- **Step 4:** Rigid gauge divisor $\Sigma = B \cdot \sigma_A$

$$-K_\Sigma = B \cdot \sigma_A \cdot F_\sigma, N_\Sigma = -aB \cdot \sigma_A \cdot F_\sigma$$

- Choose $a = 3$ for rigid E_6
- Can show $h^{1,1}(\Sigma) = 10 > h^{1,1}(B) = 4 \rightarrow$ existence of \mathcal{C}_{rem}

Explicit E_6 example



- **Step 5:** Vertical flux breaking $E_6 \rightarrow \text{SU}(5)$, giving chiral **5, 10**

$$\Theta_{1\alpha} = \Theta_{2\alpha} = \Theta_{3\alpha} = \Theta_{6\alpha} = 0 \rightarrow (\phi_{1\alpha}, \phi_{2\alpha}, \phi_{3\alpha}, \phi_{4\alpha}, \phi_{6\alpha}) = (2, 4, 6, 5, 3)n_\alpha$$

$$\chi = \Sigma \cdot (6K_B + 5\Sigma) \cdot D_\alpha n_\alpha = -3(n_S + 2n_F)$$

[Donagi, Wijnholt; Beasley, Heckman, Vafa; Braun, Collinucci, Valandro; Marsano, Schafer-Nameki; Krause, Mayrhofer, Weigand; Grimm, Hayashi]

- (No chiral matter before flux breaking due to geometry)
- **Step 6:** Remainder flux breaking $\text{SU}(5) \rightarrow \text{SU}(3) \times \text{SU}(2) \times \text{U}(1)/\mathbb{Z}_6$

$$G_4^{rem} = \left[(2D_1 + 4D_2 + 6D_3 + 3D_6) \Big|_{C_{rem}} \right]$$

Explicit E_6 example

- **Step 7:** Choose n_α to satisfy primitivity

$$[J_B] = B \cdot (t_1 F_F + t_2 (F_S + F_F) + t_3 F_\sigma + t_4 (\sigma_A + 3F_\sigma))$$

- Inside Kähler cone of X (hence inside that of B), $t_a > 0$
- Primitivity $J \wedge G_4 = 0$:

$$t_1(2n_\sigma + n_S) + t_2(3n_\sigma + n_F) + t_3(n_S + 2n_F) = 0$$

- Minimal flux configuration: (recall scarcity from tadpole)

$$(n_\sigma, n_S, n_F) = (1, -1, 0) \rightarrow \chi = 3$$

- Stabilizes some Kähler moduli within the Kähler cone



Future directions

- Higgs/vector-like exotics
- Yukawa/proton decay
- Moduli stabilization
- (Heterotic) dual theories
- Remnants in low energy
- Distributions in the landscape
- Exotic models